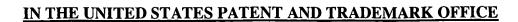
DOCKET NO: 05-03-003 CUSTOMER NO: 49867



In re-application of

Henderson, et al

Serial No.

10/706,843

Filed

11/12/2003

For

SYSTEM, METHOD, AND COMPUTER PROGRAM

PRODUCT FOR STORING TEST RESULTS IN A

**DATABASE** 

Art Unit

: 2167

Examiner

Susan F. Rayyan

MAIL STOP ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# **CERTIFICATE OF MAILING BY EXPRESS MAIL**

The undersigned hereby certifies that the following documents:

- 1. Part B Fee(s) Transmittal (1 page);
- 2. Fee Address Indication Form (1 page);
- 3. Statement Under 37 CFR 3.73(b) (10 pages);
- 4. This Certificate of Mailing by Express Mail (1 page);
- 5. Postcard receipt.

Relating to the above application, were deposited as "Express Mail" Mailing Label No. EB 655568821 US, with the United States Postal Service, addressed to: MAIL STOP ISSUE FEE, Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on April 30, 2008.

Date: April <u>30</u>, 2008

Mailer: Nancy K. Cannon

SIEMENS PRODUCT LIFECYCLE MANAGEMENT SOFTWARE INC.

5800 Granite Parkway

Suite 600

Plano, Texas 75024 Phone: (972) 987-3248 Fax: (972) 987-3379

Email: nancy.cannon@ugs.com

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)					
Applicant/Patent Owner: Henderson, et al					
Application No./Patent No.: 10/706,843 Filed/Issue Date: 11/12/2003					
Entitled: SYSTEM, METHOD, AND COMPUTER PROGRAM PRODUCT FOR STORING TEST RESULTS IN A DATABASE					
Siemens Product Lifecycle Management Software Inc. , a  [Name of Assignee] , a  [Name of Assignee] , a  [Name of Assignee]					
states that it is:  1. 📝 the assignee of the entire right, title, and interest; or					
2. an assignee of less than the entire right, title and interest (The extent (by percentage) of its ownership interest is%)					
in the patent application/patent identified above by virtue of either:					
A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.					
OR  B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follow					
1. From: Henderson, Barnaby and Keir Finlow-Bates To: Electronic Data Systems Corporation The document was recorded in the United States Patent and Trademark Office at Reel 014478 , Frame 0578 , or for which a copy thereof is attached.  2. From: Electronic Data Systems Corporation To: UGS PLM Solutions Inc. The document was recorded in the United States Patent and Trademark Office at Reel 014713 , Frame US32 , or for which a copy thereof is attached.					
3. From: UGS PLM Solutions Inc. To: UGS Corporation					
The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.					
Additional documents in the chain of title are listed on a supplemental sheet.					
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.					
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]					
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.  April . 2008					
Signature Date					
Allen Scott Lineberry, Reg. No. 44.873 (972) 987-3211  Printed or Typed Name Telephone Number					
Senior Counsel, Intellectual Property & Licensing Title					

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



# STATEMENT UNDER 37 CFR 3.73(b)

# Supplemental Sheet

4.	From:	UGS Corporation	То:	Siemens Product Lifecycle Management Software Inc.
The	e documen	t was recorded in the Ui	nited Stat	es Patent and Trademark Office at
Re	el	, Frame		, or for which a copy thereof is attached.



# Delaware The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF OWNERSHIP, WHICH MERGES:

"UGS CORP.", A DELAWARE CORPORATION,

WITH AND INTO "UGS PLM SOLUTIONS INC." UNDER THE NAME OF "UGS CORP.", A CORPORATION ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE TWENTY-SEVENTH DAY OF MAY, A.D. 2004, AT 1:17 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.

2795136 8100M

040395336

Harriet Smith Windsor, Secretary of State AUTHENTICATION: 3138704

DATE: 05-27-04

State of Delaware Secretary of State Division of Corporations Delivered 01:21 PM 05/27/2004 FILED 01:17 PM 05/27/2004 TRV 040395336 - 2795136 FILE

# CERTIFICATE OF OWNERSHIP AND MERGER

MERGING

UGS CORP.

### INTO

# UGS PLM SOLUTIONS INC.

# PURSUANT TO SECTION 253 OF THE GENERAL CORPORATION LAW OF THE STATE OF DELAWARE

\*\*\*\*

UGS Corp. (the "Company"), pursuant to Section 253 of the General Corporation Law of the State of Delaware (the "DGCL"), hereby certifies as follows:

FIRST: The Company is incorporated pursuant to the provisions of the DGCL.

SECOND: The Company owns all of the outstanding shares of the capital stock of UGS PLM Solutions Inc., a Delaware corporation ("<u>UGS PLM</u>").

THIRD: The Board of the Directors of the Company, by resolutions duly adopted by unanimous written consent on the 24th day of May, 2004, has authorized the merger of the Company with and into UGS PLM (the "Merger"). A true copy of such resolutions is attached hereto as Exhibit A. The resolutions have not been modified or rescinded and are in full force and effect on the date hereof.

FOURTH: The Merger was approved by a the written consent of the sole stockholder of the Company.

**FIFTH**: UGS PLM shall be the surviving corporation of the Merger (the "Surviving Corporation").

SIXTH: The Merger shall become effective upon the filing of this Certificate of Ownership and Merger with the Secretary of State of the State of Delaware in accordance with the provisions of Sections 103 and 253 of the DGCL (the "Effective Time").

SEVENTH: At the Effective Time, the name of the Surviving Corporation shall be changed to UGS Corp.

EIGHTH: The Certificate of Incorporation of the Surviving Corporation shall be amended and restated in its entirety as of the Effective Time, until thereafter amended in accordance with DGCL and as provided in such Certificate of Incorporation. Such Amended and Restated Certificate of Incorporation is attached hereto as <a href="Exhibit B">Exhibit B</a>.

MAY, 27, 25, 34 - 219799 - LANDERS - 3

YO. 3064 F. 2 3

IN WITNESS WHEREOF, UGS Corp. has caused this certificate to be signed by the undersigned, its Executive Vice President, this 27th day of May, 2004.

UGS CORP.

/s/ Douglas E. Barnett
Douglas E. Barnett
Executive Vice President

### **EXHIBIT A**

# RESOLUTION ADOPTED BY THE UNANIMOUS WRITTEN CONSENT OF THE BOARD OF DIRECTORS OF UGS CORP.

May 24, 2004

RESOLVED, that pursuant to the applicable sections of the General Corporation Law of the State of Delaware (the "DGCL"), UGS Corp., a Delaware corporation (the "Corporation"), shall merge with and into UGS PLM Solutions Inc., a Delaware corporation ("UGS PLM") (the "Merger") and wholly-owned subsidiary of the Corporation; that UGS PLM shall be the surviving corporation (the "Surviving Corporation") of the Merger; that in connection with the merger the Surviving Corporation shall change its name to UGS Corp.; that the certificate of incorporation of the Surviving Corporation shall be amended and restated as of the effective time of the Merger to conform to the certificate of incorporation of the Corporation, the bylaws of the Corporation shall be the bylaws of the Surviving Corporation, the officers and directors of the Corporation shall be the officers and directors of the Surviving Corporation; that the Surviving Corporation shall issue common stock to the sole stockholder of the Corporation and that the outstanding capital stock of UGS PLM and the Corporation shall be cancelled; that the proper officers of the Corporation be, and each of them hereby is, authorized and directed, in the name and on behalf of the Corporation, to prepare and execute a Certificate of Ownership and Merger and to cause such Certificate of Ownership and Merger to be filed with the Secretary of the State of Delaware pursuant to Sections 103 and 253 of the DGCL; and that the Merger shall be effective at the time stated in such Certificate of Ownership and Merger.

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## Exhibit B

# STATE of DELAWARE

# AMENDED AND RESTATED

# CERTIFICATE OF INCORPORATION

### OF

### UGS CORP.

- 1. Name. The name of this corporation is UGS Corp. (the "Corporation").
- 2. Registered Office. The registered office of this corporation in the State of Delaware is located at 2711 Centerville Road, Suite 400, in the City of Wilmington 19808, County of New Castle. The name of its registered agent at such address is Corporation Service Company.
- 3. <u>Purpose</u>. The purpose of this corporation is to engage in any lawful act or activity for which corporations may be organized under the General Corporation Law of the State of Delaware.
- 4. <u>Stock</u>. The total number of shares of stock that this corporation shall have authority to issue is 3,000 shares of Common Stock, \$0.01 par value per share. Each share of Common Stock shall be entitled to one vote.
- 5. Change in Number of Shares Authorized. Except as otherwise provided in the provisions establishing a class of stock, the number of authorized shares of any class or series of stock may be increased or decreased (but not below the number of shares thereof then outstanding) by the affirmative vote of the holders of a majority of the voting power of the corporation entitled to vote irrespective of the provisions of Section 242(b)(2) of the General Corporation Law of the State of Delaware.
- 6. <u>Election of Directors</u>. The election of directors need not be by written ballot unless the by-laws shall so require.
- 7. Authority of Directors. In furtherance and not in limitation of the power conferred upon the board of directors by law, the board of directors shall have power to make, adopt, alter, amend and repeal from time to time by-laws of this corporation, subject to the right of the stockholders entitled to vote with respect thereto to alter and repeal by-laws made by the board of directors.
- 8. <u>Liability of Directors</u>. A director of this corporation shall not be liable to the corporation or its stockholders for monetary damages for breach of fiduciary duty as a director, except to the extent that exculpation from liability is not permitted under the General Corporation Law of the State of Delaware as in effect at the time such liability is determined.

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No amendment or repeal of this paragraph 8 shall apply to or have any effect on the liability or alleged liability of any director of the corporation for or with respect to any acts or omissions of such director occurring prior to such amendment or repeal.

- 9. Indemnification. This corporation shall, to the maximum extent permitted from time to time under the law of the State of Delaware, indemnify and upon request advance expenses to any person who is or was a party or is threatened to be made a party to any threatened, pending or completed action, suit, proceeding or claim, whether civil, criminal, administrative or investigative, by reason of the fact that such person is or was or has agreed to be a director or officer of this corporation or while a director or officer is or was serving at the request of this corporation as a director, officer, partner, trustee, employee or agent of any corporation, partnership, joint venture, trust or other enterprise, including service with respect to employee benefit plans, against expenses (including attorney's fees and expenses), judgments, fines, penalties and amounts paid in settlement incurred (and not otherwise recovered) in connection with the investigation, preparation to defend or defense of such action, suit, proceeding or claim; provided, however, that the foregoing shall not require this corporation to indemnify or advance expenses to any person in connection with any action, suit, proceeding, claim or counterclaim initiated by or on behalf of such person. Such indemnification shall not be exclusive of other indemnification rights arising under any by-law, agreement, vote of directors or stockholders or otherwise and shall inure to the benefit of the heirs and legal representatives of such person. Any person seeking indemnification under this paragraph 9 shall be deemed to have met the standard of conduct required for such indemnification unless the contrary shall be established. Any repeal or modification of the foregoing provisions of this paragraph 9 shall not adversely affect any right or protection of a director or officer of this corporation with respect to any acts or omissions of such director or officer occurring prior to such repeal or modification.
- 10. <u>Records</u>. The books of this corporation may (subject to any statutory requirements) be kept outside the State of Delaware as may be designated by the board of directors or in the by-laws of this corporation.
- 11. Meeting of Stockholders of Certain Classes. If at any time this corporation shall have a class of stock registered pursuant to the provisions of the Securities Exchange Act of 1934, for so long as such class is so registered, any action by the stockholders of such class must be taken at an annual or special meeting of stockholders and may not be taken by written consent.

PAGE 1

# Delaware

# The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE RESTATED CERTIFICATE OF "UGS CORP.", CHANGING ITS NAME FROM "UGS CORP." TO "SIEMENS PRODUCT LIFECYCLE MANAGEMENT SOFTWARE INC.", FILED IN THIS OFFICE ON THE TWENTY-EIGHTH DAY OF AUGUST, A.D. 2007, AT 2:36 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.

2795136 8100 070964588



Darnet Smith Hindson

Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 5965673

DATE: 08-30-07

State of Delaware Secretary of State Division of Corporations Delivered 05:31 FM 08/28/2007 FILED 02:36 FM 08/28/2007 SRV 070964588 - 2795136 FILE

# AMENDED AND RESTATED CERTIFIÇATE OF INCORPORATION OF UGS CORP.

UGS Corp. (the "Corporation"), a corporation organized and existing under the General Corporate Law of the State of Delaware, filed an original Certificate of Incorporation on October 2, 1997 under the name of Unigraphics Solutions Inc. The Certificate of Incorporation and all amendments thereto are hereby amended and restated in their entirety to read as follows:

FIRST: The name of the corporation is Siemens Product Lifecycle Management Software Inc. (the "Corporation").

SECOND: The address of the registered office of the Corporation in the State of Delaware is 2711 Centerville Road, Suite 400, Wilmington, Delaware 19808, County of New Castle. The name of the registered agent of the corporation at such address is Corporation Service Company.

THIRD: The purpose of the Corporation is to engage in any lawful act or activity for which corporations may be organized under the General Corporation Law of the State of Delaware.

FOURTH: The total number of shares of stock which the Corporation shall have authority to issue is 3,000 share of Common Stock, par value \$0.01 per share.

FIFTH: That the capital of said Corporation shall not be reduced under or by reason of said amendment.

SIXTH: This Amended and Restated Certificate of Incorporation has been duly adopted by the Board of Directors and the sole stockholder of the Corporation in accordance with the provisions of Sections 228, 242 and 245 of the General Corporation Law of the State of Delaware.

IN WITNESS WHEREOF, said Corporation has caused this certificate to be signed by a duly authorized officer on August 15, 2007.

UGS CORP.

Rose Marie E. Glazer,

General Counsel and Secretary